

## Two-stage mid-Brunhes climate transition and mid-Pleistocene human diversification



Hong Ao<sup>a,b,c,d,\*</sup>, Eelco J. Rohling<sup>e,f</sup>, Chris Stringer<sup>g</sup>, Andrew P. Roberts<sup>e</sup>, Mark J. Dekkers<sup>h</sup>, Guillaume Dupont-Nivet<sup>i</sup>, Jimin Yu<sup>e</sup>, Qingsong Liu<sup>j</sup>, Peng Zhang<sup>a,c</sup>, Zhonghui Liu<sup>k</sup>, Xiaolin Ma<sup>a,c</sup>, Weijian Zhou<sup>a,b,c</sup>, Zhangdong Jin<sup>a,b,c,l</sup>, Guoqiao Xiao<sup>d</sup>, Hong Wang<sup>m</sup>, Qiang Sun<sup>n</sup>, Pingguo Yang<sup>o</sup>, Xianzhe Peng<sup>d</sup>, Zhengguo Shi<sup>a</sup>, Xiaoke Qiang<sup>a</sup>, Zhisheng An<sup>a,b,c</sup>

<sup>a</sup> State Key Laboratory of Loess and Quaternary Geology, Institute of Earth Environment, Chinese Academy of Sciences, Xi'an, China

<sup>b</sup> CAS Center for Excellence in Quaternary Science and Global Change, Chinese Academy of Sciences, Xi'an, China

<sup>c</sup> Open Studio for Oceanic-Continental Climate and Environment Changes, Pilot National Laboratory for Marine Science and Technology (Qingdao), Qingdao, China

<sup>d</sup> State Key Laboratory of Biogeology and Environmental Geology, School of Earth Sciences, China University of Geosciences, Wuhan, China

<sup>e</sup> Research School of Earth Sciences, Australian National University, Canberra, Australia

<sup>f</sup> Ocean and Earth Science, University of Southampton, National Oceanography Centre, Southampton, UK

<sup>g</sup> Centre for Human Evolution Research (CHER), Department of Earth Sciences, Natural History Museum, London, UK

<sup>h</sup> Paleomagnetic Laboratory 'Fort Hoofddijk', Department of Earth Sciences, Faculty of Geosciences, Utrecht University, Utrecht, the Netherlands

<sup>i</sup> Université de Rennes, CNRS, Géosciences Rennes, Rennes, France

<sup>j</sup> Centre for Marine Magnetism (CM<sup>2</sup>), Department of Ocean Science and Engineering, Southern University of Science and Technology, Shenzhen, China

<sup>k</sup> Department of Earth Sciences, University of Hong Kong, Hong Kong, China

<sup>l</sup> Institute of Global Environmental Change, Xi'an Jiaotong University, Xi'an, China

<sup>m</sup> Interdisciplinary Research Center of Earth Science Frontier, Beijing Normal University, Beijing, China

<sup>n</sup> College of Geology and Environment, Xi'an University of Science and Technology, Xi'an, China

<sup>o</sup> College of Life Sciences, Shanxi Normal University, Linfen, China

### ARTICLE INFO

#### Keywords:

Mid-Brunhes transition  
Middle Pleistocene climate variability  
Interglacial climates  
Precipitation  
Monsoon  
Human evolution  
Chinese Loess Plateau

### ABSTRACT

Global climate shifted to markedly warmer interglacial conditions across the “mid-Brunhes transition” (MBT, ~400 ka). However, a global MBT synthesis that spans marine and terrestrial evidence remains elusive, which limits our understanding of the role of the MBT in mid-Pleistocene human evolution. We synthesize Asian precipitation reconstructions within a context of global palaeoclimatic records and find that the MBT occurred in two stages. First, stronger warming of northern hemisphere continents, weaker southern hemisphere warming, and related more extensive northward displacement of the intertropical convergence zone (ITCZ) during interglacial marine isotope stage (MIS) 13 intensified and expanded precipitation in Asian monsoon regions and in other widespread northern hemisphere regions, with accompanying carbon reservoir changes featuring globally high marine benthic  $\delta^{13}\text{C}$  values because of vegetation expansion at ~500 ka. Subdued southern hemisphere warming and northward ITCZ displacement decreased southern hemisphere precipitation simultaneously during MIS 13. Second, a shift to globally warmer interglacials at ~400 ka, with elevated atmospheric  $\text{CO}_2$  concentrations, smaller ice volume, and higher sea level resulted in sustained high interglacial precipitation in East Asia from MIS 11 onward and sustained high marine benthic  $\delta^{13}\text{C}$  values during MIS 11. We also synthesize palaeoanthropological data and find that the climate and ecosystem changes across the MBT coincided with the timing of human lineage diversification, including the emergence of Neanderthals and Denisovans in Eurasia and *Homo sapiens* in Africa, and their potential coexistence with *H. heidelbergensis*, *H. erectus*, *H. floresiensis*, *H. naledi*, and other *Homo* archaics. The timing of the MBT also coincided with novel hominin behavioural developments, including fire control and the transition from handaxe industries to more versatile Levallois techniques. Combined with environmental theories of human evolution, this chronological coincidence suggests a potential link between mid-Pleistocene environmental changes and human evolution.

\* Corresponding author at: State Key Laboratory of Loess and Quaternary Geology, Institute of Earth Environment, Chinese Academy of Sciences, Xi'an, China.  
E-mail address: [aohong@ieecas.cn](mailto:aohong@ieecas.cn) (H. Ao).

## 1. Introduction

Marine benthic  $\delta^{18}\text{O}$ , deep-sea temperature, and Antarctic ice core temperature records suggest a notable transition from “lukewarm” to warmer “super” interglacials during the middle Brunhes geomagnetic polarity chron, starting with interglacial marine isotope stage (MIS) 11 (Augustin et al., 2004; Lisiecki and Raymo, 2005; Jouzel et al., 2007; Lang and Wolff, 2011; Elderfield et al., 2012; Hodell et al., 2013; Berger et al., 2016). This transition has become known as the mid-Brunhes transition (MBT) or mid-Brunhes event (MBE) (e.g., Jansen et al., 1986; Candy et al., 2010; Blain et al., 2012; Hodell et al., 2013; Yin, 2013; Wang et al., 2014). Climate variations across the MBT provide a backdrop to mid-Pleistocene human evolution and dispersal, including the origination of Neanderthals in western Eurasia, Denisovans in eastern Eurasia, and *Homo sapiens* in Africa, the transition from flake- and handaxe-dominated assemblages to the more versatile Levallois technique, and permanent habitation of many high-latitude Eurasian regions by *H. heidelbergensis* and/or other *Homo* archaics with handaxe and/or Levallois technologies (Rightmire, 1998; Hublin, 2009; Stringer, 2012a, 2012b; Hublin et al., 2017; Richter et al., 2017; Owen et al., 2018; Potts et al., 2018).

Environmental theories of human evolution suggest that key evolutionary changes were mediated by shifts in climate and ecosystem variability (e.g., deMenocal, 1995, 2004; Potts, 1996; Potts et al., 2018). However, how orbitally-induced climate and habitat changes across the MBT may have impacted mid-Pleistocene human evolution, adaptive context, dispersal, and technological development remains largely unexplored (Hublin, 2009; Owen et al., 2018; Potts et al., 2018). East Asia is a critical region for these developments. For example, the earliest human occupation of the Chinese Loess Plateau, central China, is dated to  $\sim 2.1$  Ma (Zhu et al., 2018), which is similar to a claimed first *H. erectus* appearance in southern Africa at  $\sim 2$  Ma (Herries et al., 2020). Abundant Palaeolithic sites spanning the early and middle Pleistocene have been found in East Asia, many with accompanying *H. erectus* or other *Homo* archaic fossils (An and Ho, 1989; Zhu et al., 2001, 2003, 2004, 2008, 2015, 2018; Dennell, 2009; Ao et al., 2013a, 2013b, 2017).

We here reconstruct terrestrial Asian summer monsoon precipitation (hydroclimate) changes over the past 800 kyr using new high-resolution environmental magnetic records from a loess section on the Chinese Loess Plateau and existing wider regional records. We then consider these Asian monsoonal records within a global context of worldwide hydroclimate records, marine sediment records, and Antarctic ice-core records, to evaluate Asian monsoon dynamics in relation to global climate changes across the MBT. Finally, we synthesize mid-Pleistocene palaeoclimatic and palaeoanthropological records to infer potential human evolutionary responses associated with marked global and regional climate shifts across the MBT.

## 2. Mid-Brunhes Asian summer monsoon transition on the Chinese Loess Plateau

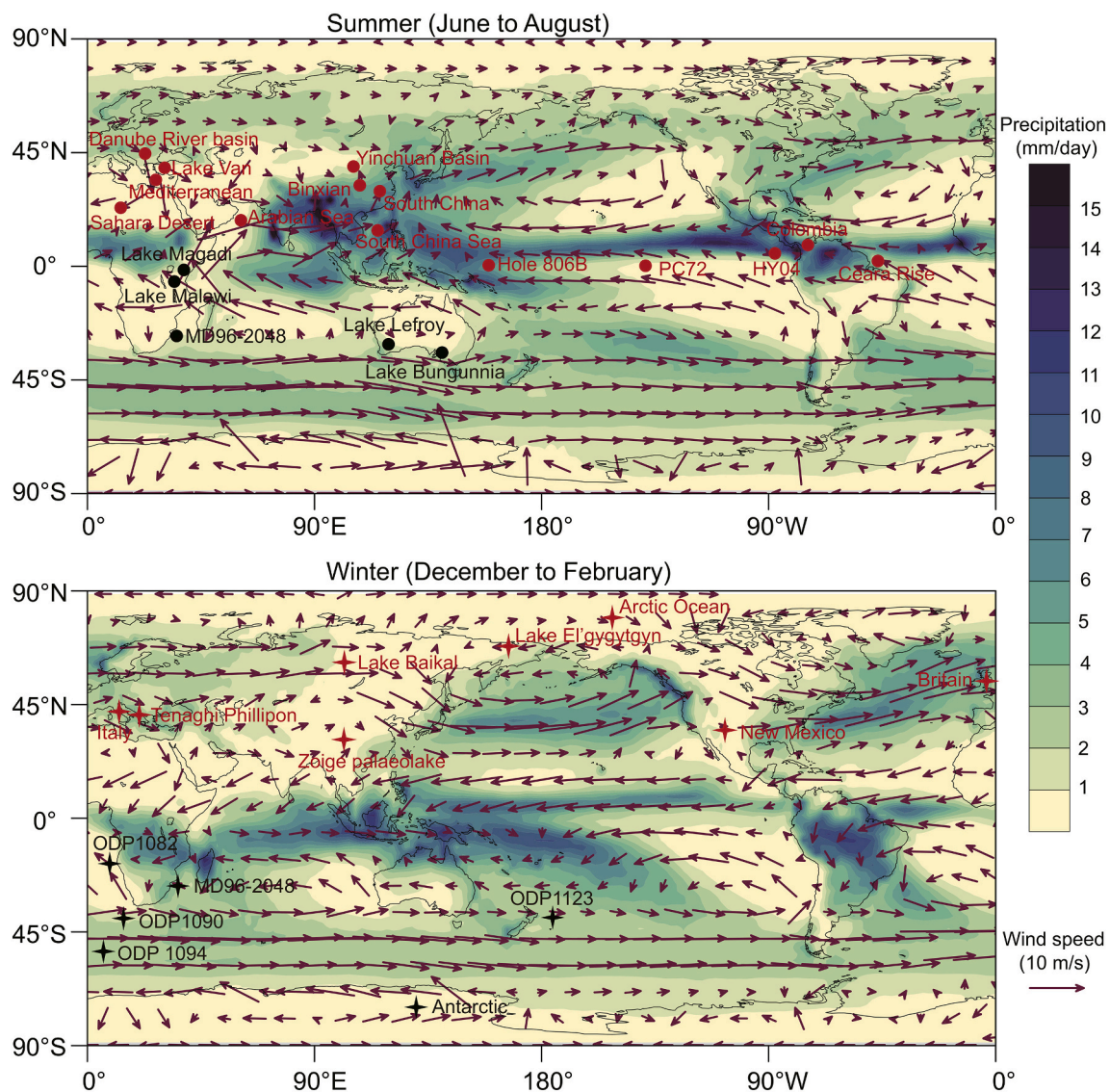
Like monsoonal Africa, the Chinese Loess Plateau climate is dominated by seasonally alternating summer and winter monsoon changes (Figs. 1 and 2). Warm/humid southeasterly summer monsoon penetration inland from tropical oceans produces rains from May to September that contribute 60–75% of annual precipitation. In contrast, the northwesterly winter monsoon transports high-latitude cold and dry air masses and dust from arid regions located to the west and north to the downwind Chinese Loess Plateau (Guo et al., 2009; Hao et al., 2012; Sun et al., 2020). With thicknesses of up to  $\sim 600$  m, aeolian dust accumulations on the Chinese Loess Plateau provide a unique high-resolution archive of climate variations from the latest Oligocene through the Quaternary (Guo et al., 2002; Qiang et al., 2011; An, 2014; Sun et al., 2020). High Quaternary summer monsoon precipitation during interglacials drove accelerated pedogenesis and in situ red soil development within yellow loess, while strong winter monsoons during

glacials with substantially weakened summer monsoon intensity resulted in deposition of insignificantly altered loess (An et al., 1990; Guo et al., 2009; Hao et al., 2012; Maher, 2016). Therefore, well-developed Quaternary loess-palaeosol sequences on the Chinese Loess Plateau preserve an outstanding terrestrial archive of past climate changes linked to both high- and low-latitude processes (Guo et al., 2009; Hao et al., 2012; An, 2014; Sun et al., 2020).

Stronger in situ pedogenesis during periods of increased precipitation accelerates formation of fine magnetite/maghemite, the concentration of which can be measured by low-frequency magnetic susceptibility ( $\chi_{lf}$ ) or frequency-dependent magnetic susceptibility ( $\chi_{fd}$ ) (Zhou et al., 1990; Maher and Thompson, 1995; Maher, 1998, 2016). Stronger pedogenesis also results in formation of more hematite (Hm) than goethite (Gt), which translates to higher Hm/Gt ratios (Torrent et al., 2007). This is consistent with the red colour of palaeosol layers, which imprint significantly the red colour of hematite. Thus, loess  $\chi_{lf}$ ,  $\chi_{fd}$ , and Hm/Gt are considered to be meaningful proxies for summer monsoon precipitation (e.g., An et al., 1990; Maher and Thompson, 1995; Torrent et al., 2007; Guo et al., 2009; Hao et al., 2012; Maher, 2016).

To reveal orbital-scale variability in summer monsoon precipitation during the last 800 kyr,  $\chi_{lf}$  and Hm/Gt were measured for 3264 samples from the Binxian loess section ( $35^{\circ}2'N$ ,  $108^{\circ}5'E$ ) on the central Chinese Loess Plateau (Fig. 2). Measurements were made at 2-cm stratigraphic intervals (for details see Supplementary Methods), i.e. with a high temporal resolution of  $\sim 0.3$  kyr, which exceeds that of most mid-Pleistocene loess palaeoclimate records. Comparison with previous records, notably the Xifeng loess  $\chi_{lf}$  time series (Guo et al., 2009), reveals consistent  $\chi_{lf}$  variability across the Chinese Loess Plateau (Fig. 3), which allows synchronization of records over glacial-interglacial time scales. Even before synchronization, major glacial-interglacial cycles are evident in the different  $\chi_{lf}$  records (Fig. 3), which were formulated with different approaches. All approaches identify similar chronologies for the sequence of palaeosol layers  $S_0$  to  $S_7$  over the last 800 kyr, and the same correlations of loess and palaeosol layers to glacial and interglacial periods defined by the marine benthic  $\delta^{18}\text{O}$  record (Lisiecki and Raymo, 2005) (Fig. 3). Chronological uncertainties do not result in major differences in loess-to-marine correlations across 100-kyr glacial-interglacial cycles (Fig. 3). Our age model was established by  $\chi_{lf}$  correlation between the Binxian and Xifeng sections using 24 age correlation points that have the same  $\chi_{lf}$  features (black dots in Fig. 3). Synchronization with the Xifeng loess  $\chi_{lf}$  time series, which is based on a pedostratigraphic loess-to-marine correlation (Guo et al., 2009), results in a relatively higher correlation coefficient ( $R = 0.8$ ) between the Binxian  $\chi_{lf}$  and marine benthic  $\delta^{18}\text{O}$  records than synchronization with other loess time scales.

Temporal  $\chi_{lf}$  variability in the Binxian section over the last 800 kyr matches not only that in other sections across the Chinese Loess Plateau, but also glacial-interglacial cycles in the marine benthic  $\delta^{18}\text{O}$  record (Lisiecki and Raymo, 2005) (Fig. 4). Throughout, elevated summer monsoon precipitation caused formation of interglacial red palaeosol layers, which have higher  $\chi_{lf}$  and Hm/Gt values than glacial yellow loess layers that accumulated under strong winter monsoon and weak summer monsoon conditions (Figs. 4 and 5). Within this general interglacial-glacial pattern, palaeosol layers  $S_{5-1}$ ,  $S_4$ ,  $S_3$ ,  $S_2$ ,  $S_1$ , and  $S_0$  ( $\leq 500$  ka) are more strongly developed with higher  $\chi_{lf}$  and Hm/Gt values than palaeosol layers  $S_7$ ,  $S_6$ ,  $S_{5-3}$ , and  $S_{5-2}$  that are older than 500 ka (Figs. 4 and 5). The interglacial amplitude increase across the MBT is larger in the  $\chi_{lf}$  record than in the Hm/Gt record (Fig. 5 A, B), which is likely due to different proxy sensitivities to monsoon changes. Regardless, both the  $\chi_{lf}$  and Hm/Gt records indicate that MIS 13 was the interglacial with enhanced pedogenesis and increased summer monsoon precipitation on the Chinese Loess Plateau. This is consistent with previous inferences from increased ratios between pedogenic free  $\text{Fe}_2\text{O}_3$  and total  $\text{Fe}_2\text{O}_3$  (a measure of iron liberated by chemical weathering; Guo et al. (2009)), redness (a measure of pedogenic



**Fig. 1.** Boreal summer and winter patterns of precipitation and surface winds worldwide. High precipitation and convergence of surface winds (850 hPa) generally occurs at the ITCZ. Precipitation and wind data are from the Climate Prediction Centre Merged Analysis of Precipitation and from the European Centre for Medium-Range Weather Forecasts (ECMWF) interim reanalysis between 1980 and 2005, respectively. Red and black solid circles represent sites that shifted to wetter and drier conditions from MIS 15 to 13, respectively. Red and black stars represent sites that shifted to more-warmed and less-warmed interglacial conditions from MIS 15 to 13, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

development; Guo et al. (2009)),  $\chi_{lf}$  and  $\chi_{fd}$  for other loess sections across the wider Chinese Loess Plateau (Ding et al., 2002; An et al., 2005; Sun et al., 2006; Hao et al., 2012; Zhang et al., 2016; Li et al., 2017a) (Fig. 4).

### 3. Two-stage mid-Brunhes climate transition

#### 3.1. Strong interhemispheric contrasts during MIS 13 and onset of super-interglacials at MIS 11

The observed transition to higher precipitation on the Chinese Loess Plateau coincided with a positive marine benthic  $\delta^{13}\text{C}$  shift in MIS 13, but predated by  $\sim 100$  kyr shifts during MIS 11 in the records of marine benthic  $\delta^{18}\text{O}$ , ice volume, sea level, and atmospheric  $\text{CO}_2$  concentration records (Wang et al., 2003; Augustin et al., 2004; Lisiecki and Raymo, 2005; Lüthi et al., 2008; Elderfield et al., 2012; Martínez-Botí et al., 2015; Spratt and Lisiecki, 2016) (Fig. 5). To assess Asian summer monsoon changes and dynamics across the MBT in a global perspective, we compare Chinese Loess Plateau records with globally more

widespread precipitation and temperature records (Figs. 1 and 5–7; Tables 1–2).

Global datasets that indicate precipitation changes across the MBT are summarized in Table 1. Similar to the MIS 13 shift to higher precipitation on the Chinese Loess Plateau, such a shift is also evident in other regions of Asia, North Africa, Europe, the Middle East, northernmost South America, and the equatorial Pacific Ocean (Table 1; Fig. 1A). For example, the *Artemisia/Chenopodiaceae* ratio increased during MIS 13 and especially during MIS 11 in the Yinchuan Basin, NW China, which is consistent with higher precipitation (Li et al., 2017a). Terrestrial sedimentary, soil micromorphological, mineralogical, and geochemical records from South China (Zhang et al., 2009; Lu et al., 2020) and marine geochemical records (e.g., *n*-alkane flux, sea-surface salinity, foraminiferal  $\delta^{15}\text{N}$ , and opal content) from the South China Sea (Shyu et al., 2001; Shiau et al., 2008; Li et al., 2013; Ren et al., 2017) also suggest a precipitation increase during MIS 13 relative to previous interglacials. Higher South Asian monsoon intensity has been inferred from MIS 13 onward from higher Arabian Sea productivity as indicated by enhanced Ca/K ratios (Kunkelova et al., 2018) (Fig. 5C) and Ba

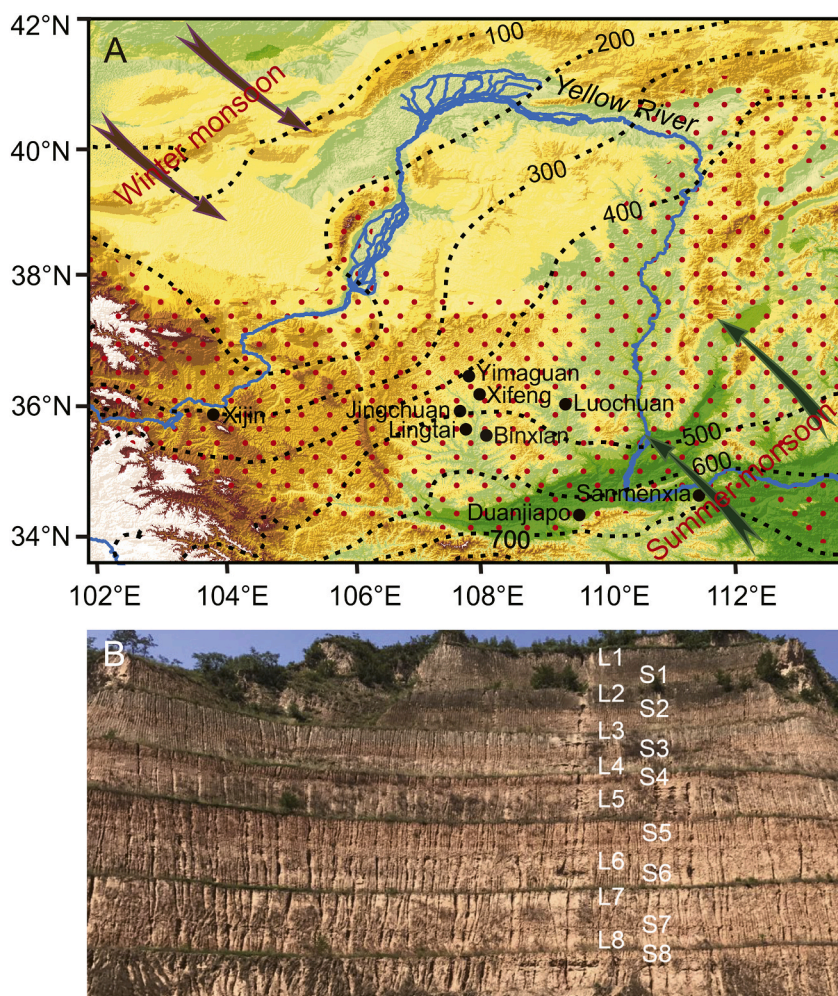


Fig. 2. Site location map and field photograph. (A) Map of the Chinese Loess Plateau (region with red solid dots) with mean annual precipitation contours (black dashed lines, numbers indicate annual rainfall in mm) and location of loess records discussed in the text (black solid circles), including Binxian, Luochuan, Jingchuan, Yimaguan, Sanmenxia, Duanjiapo, Xifeng, Lingtai, and Xijin. The Yellow River is the major river system in North China. (B) Field photograph of the Binxian loess sequence. L and S indicates loess and palaeosol layers, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

concentrations (Ziegler et al., 2010) (Fig. 6A), and from larger lithogenic grain sizes that reflect stronger detrital transportation by summer monsoon precipitation (Clemens et al., 1996).

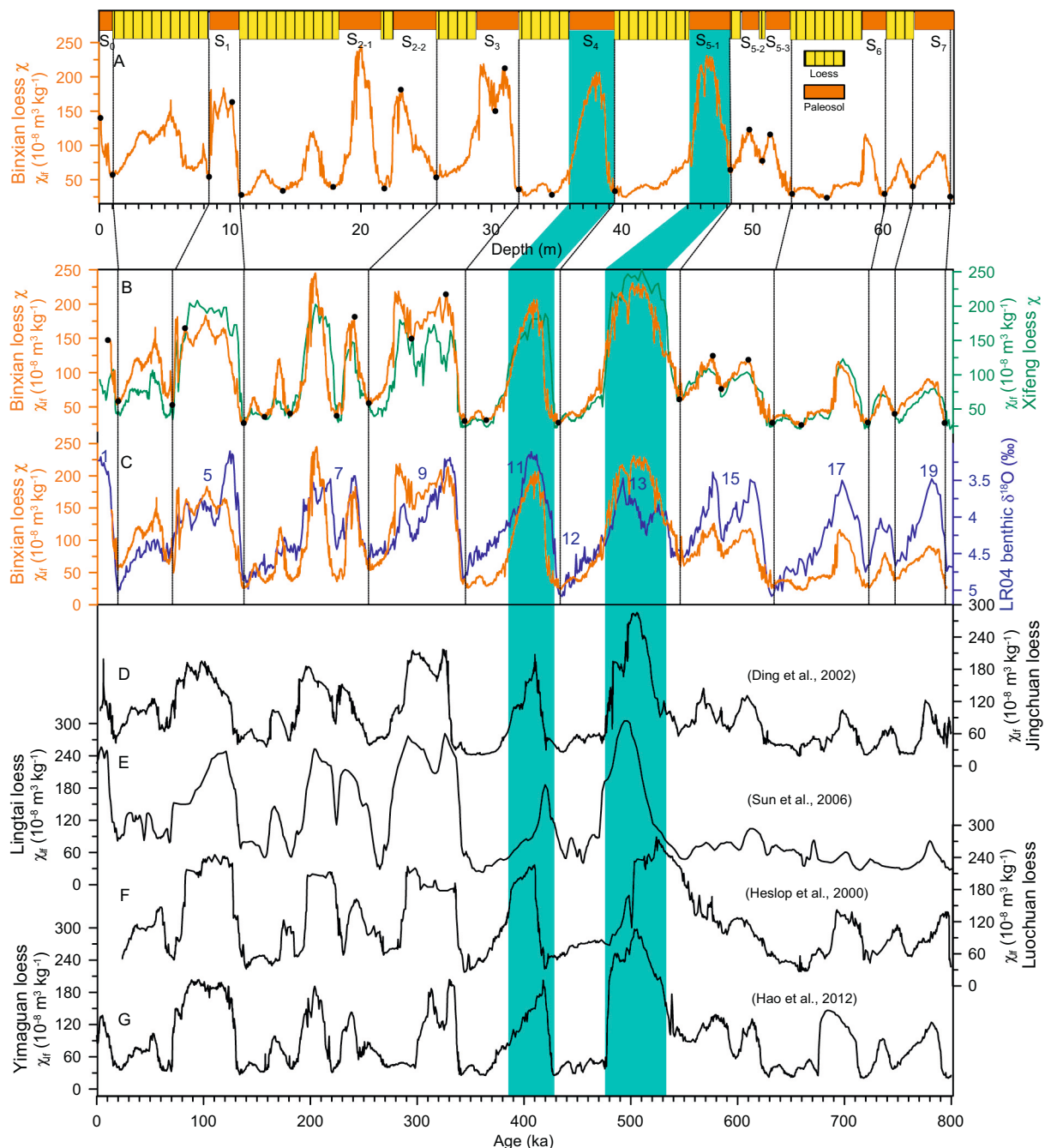
In North Africa, increased monsoon precipitation enhanced discharge into the Mediterranean Sea from rivers along the North African margin, including the Nile, which caused formation of a thick sapropel in Eastern Mediterranean sediments during MIS 13, with low Si/Al and K/Al ratios (Rossignol-Strick et al., 1998; Zhao et al., 2012). Further support for a shift to higher North African precipitation comes from the clay mineralogy of Nile deep-sea fan sediments (Zhao et al., 2012) (Fig. 5D) and from the emergence of large ephemeral lakes in the present-day Sahara Desert (Geyh and Thiedig, 2008). Widespread formation of a well-developed palaeosol layer (S<sub>5</sub>) in the Danube River basin and increases in *Pinus* pollen and total organic carbon (TOC) contents and Ca/K from Lake Van, Turkey, suggest increased precipitation during MIS 13 in Europe and the Middle East, respectively (Litt et al., 2014; Stockhecke et al., 2014; Marković et al., 2015).

In northernmost South America (northern Colombia), lake records indicate that MIS 13 became prominently warmer and wetter than MIS 15 as suggested by decreased shallow water taxa and increased *Alnus*, arboreal, and aquatic cyperaceae pollen (Hooghiemstra and Ran, 1994; Torres et al., 2013). Likewise, a shift to higher precipitation at MIS 13 enhanced regional vegetation cover that stabilized landscapes, which would have reduced terrigenous input into the western tropical Atlantic Ocean and easternmost equatorial Pacific Ocean from northern South America (Harris et al., 1997; Horikawa et al., 2010). Relatively high CaCO<sub>3</sub> (Fig. 5E) and longer-chain *n*-alkanes concentrations are thus observed during MIS 13 in the western tropical Atlantic and

easternmost equatorial Pacific Oceans, respectively (Harris et al., 1997; Horikawa et al., 2010). In addition, a shift to increased interglacial precipitation in the Pacific Ocean at MIS 13 is indicated by more negative shifts in surface-dwelling *Globigerinoides ruber* δ<sup>18</sup>O and seawater δ<sup>18</sup>O records at ODP Hole 806B (Medina-Elizalde and Lea, 2005), and increased biogenic opal flux in core PC72 (Murray et al., 2012) (Fig. 6B–D).

In contrast to a change to wetter conditions in widespread regions across the northern hemisphere, the climate became drier from MIS 15 to 13 in South Africa and South Australia. In subtropical southeastern Africa, decreased Fe/Ca ratios (Fig. 5F) in marine sediments offshore of the Limpopo River mouth, increased grassland pollen and decreased aquatic pollen in Lake Magadi, and shallowing or even the disappearance of some lakes in South Africa indicate a shift to drier conditions in MIS 13 (Ivory et al., 2016; Caley et al., 2018; Owen et al., 2018). Likewise, South Australia became drier as suggested by transitions from freshwater lacustrine to aeolian and saline gypsiferous deposits in the Lefroy and Bungunnia lakes at ~500 ka (An et al., 1986; Zheng et al., 1998).

Global datasets that indicate temperature changes across the MBT are summarized in Table 2. Like precipitation, temperature appears to have changed asymmetrically between hemispheres during MIS 13 (Guo et al., 2009) (Fig. 1B). Sub-Antarctic Pacific Ocean deep-sea temperature (Elderfield et al., 2012) (Fig. 5J), Antarctic atmospheric temperature (Jouzel et al., 2007), South Atlantic and South Indian Ocean sea surface temperature (SST) (Etourneau et al., 2009; Martínez-García et al., 2009), and stacked Southern Ocean SST all indicate that MIS 13 was the coolest among the last nine interglacials (Fig. 7A–E).

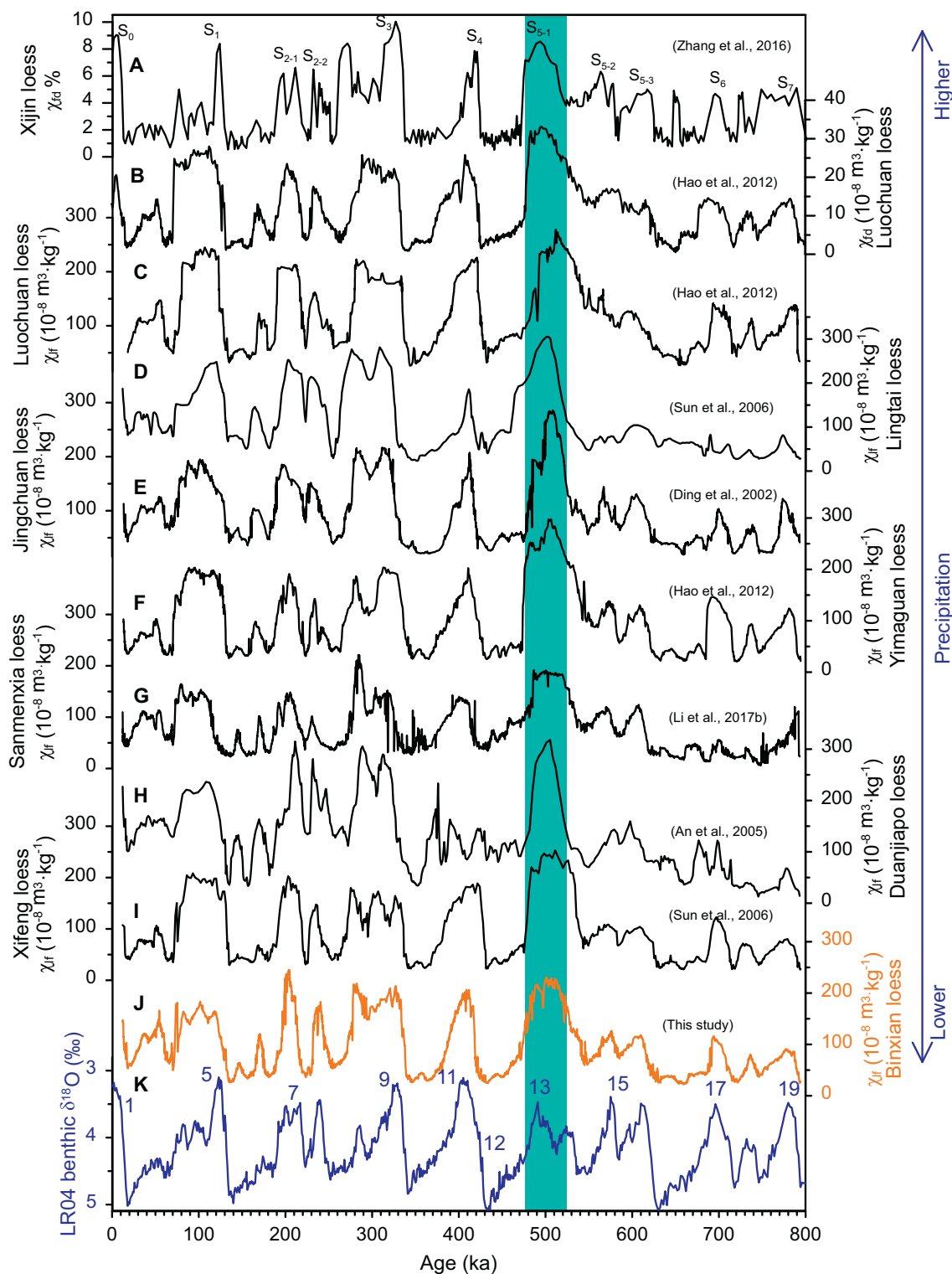


**Fig. 3.** Comparison of various loess  $\chi_{lf}$  time scales and age model construction for the Binxian loess section. (A) Binxian lithology and  $\chi_{lf}$  plotted against depth. (B) Comparison between Binxian and Xifeng  $\chi_{lf}$  records on the age model of Guo et al. (2009) established by loess-to-marine correlations. (C) Comparison of the established Binxian  $\chi_{lf}$  time series with the LR04 marine benthic  $\delta^{18}O$  stack (Lisiecki and Raymo, 2005). (D–F) Astronomical time scales for the Jingchuan (Ding et al., 2002), Lingtai (Sun et al., 2006), and Luochuan (Heslop et al., 2000) loess  $\chi_{lf}$  records. (G) Yimaguan loess  $\chi_{lf}$  placed on a time scale (Hao et al., 2012) established by a combination of loess-to-marine correlations and a weighted grain-size age model proposed by Porter and An (1995). Black dots on the Binxian  $\chi_{lf}$  record represent tie points used to establish the age model. Blue bars indicate the correlation of thick marker palaeosol ( $S_5$  and  $S_4$ ) layers to interglacial stages. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

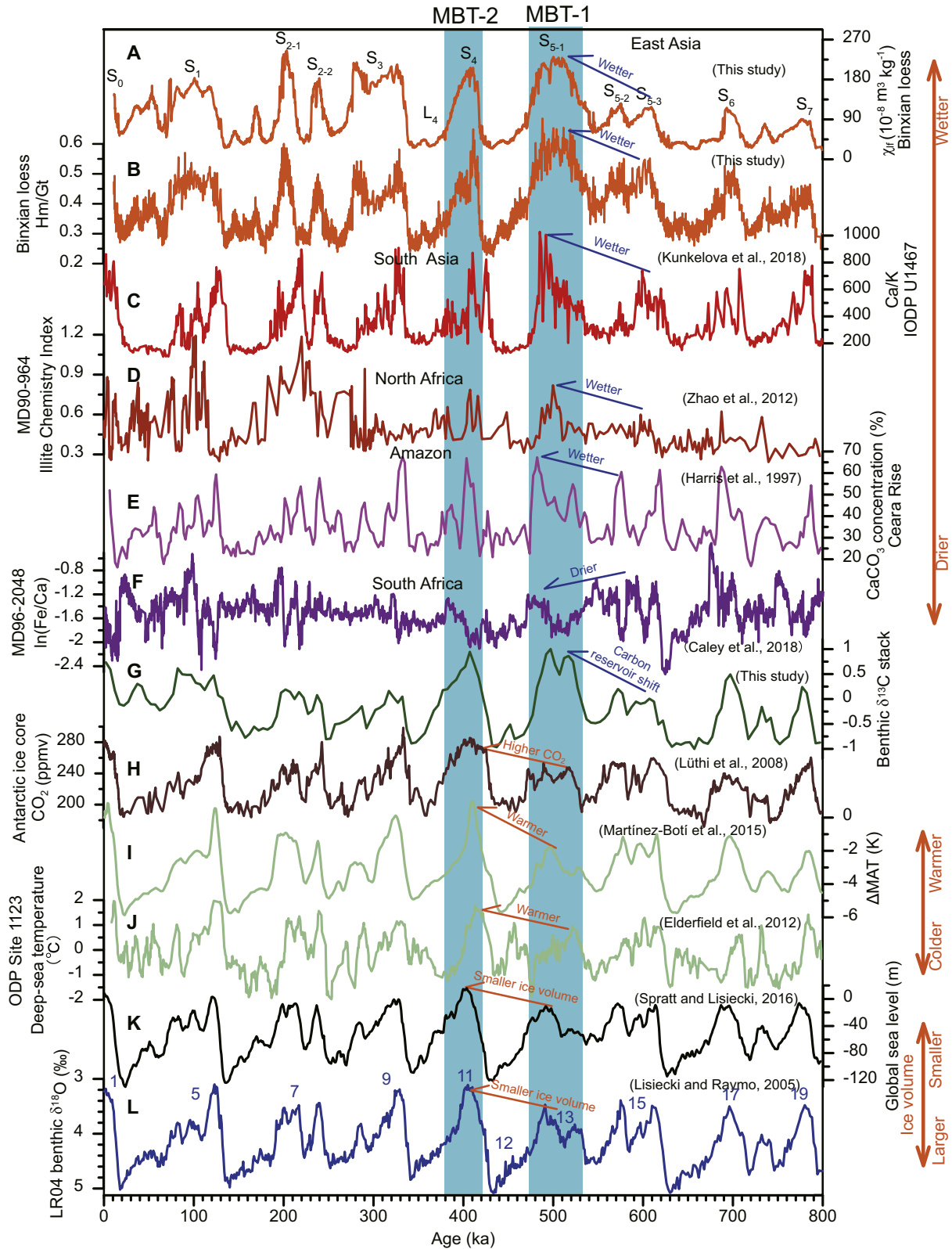
Moreover, increased sea-salt sodium fluxes in the Dome C ice core suggest increased interglacial sea ice volume around Antarctica during MIS 13 (Wolff et al., 2010) (Fig. 7F). Ice sheet modelling (Pollard and Deconto, 2009) indicates an ice volume increase in Antarctica from MIS 15 to 13 (Fig. 7G). Southern Ocean Ba/Fe and Ca/Fe records generally have prominent peaks during interglacials, but they have a muted peak during MIS 13, which suggests substantially lower export production linked to a regionally cooler climate (Jaccard et al., 2013).

In contrast to subdued southern hemisphere warming, northern

hemisphere continents became notably warmer from MIS 15 to 13 (Fig. 1B). Sharp increases in manganese, spermatophyte pollen, and pteridophyte spores in Arctic marine sediments (de Vernal and Hillaire-Marcel, 2008; Polyak et al., 2013) suggest a significantly warmer Arctic climate during MIS 13 than MIS 15 (Fig. 7H–J). In Lake El'gygytyn (NE Russia), Mn/Fe and diatom concentration increased during MIS 13 and more significantly during MIS 11, which suggests elevated interglacial temperatures (Melles et al., 2012; Snyder et al., 2013) (Fig. 7K–L). Widespread and unusually warm MIS 13 conditions are also suggested

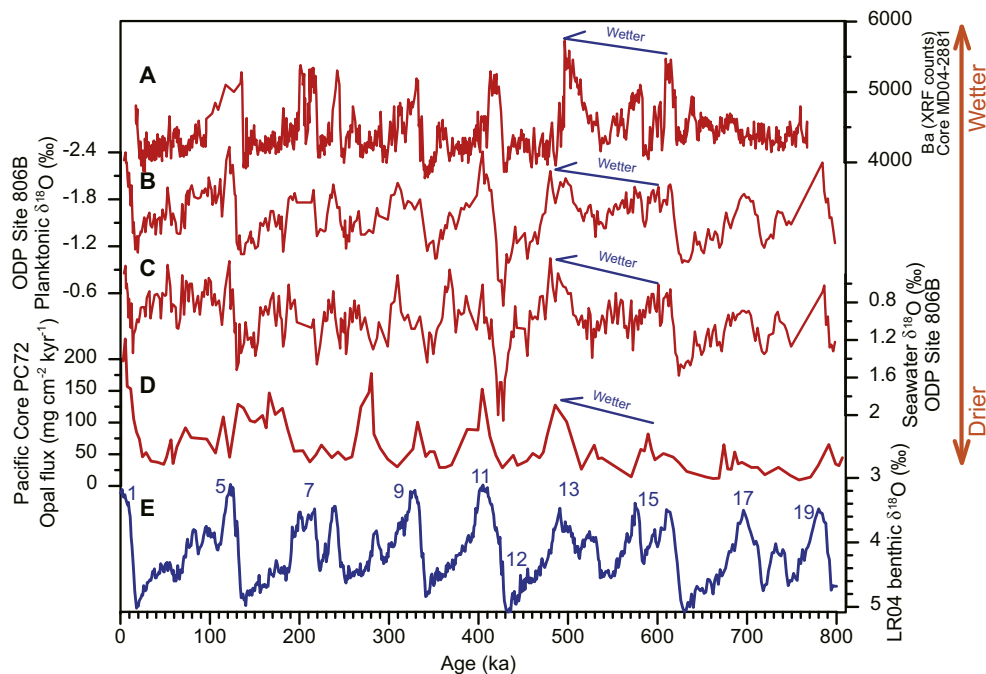


**Fig. 4.** Chinese loess Asian summer monsoon proxies over the past 800 kyr. (A–J) East Asian summer monsoon precipitation variability on the Chinese Loess Plateau indicated by loess  $\chi_{fd}$  from the Luochuan section (Hao et al., 2012) and Xijing core (Zhang et al., 2016), and by  $\chi_{if}$  from loess sections at Luochuan (Hao et al., 2012), Lingtai (Sun et al., 2006), Jingchuan (Ding et al., 2002), Yimagan (Hao et al., 2012), Sanmenxia (Li et al., 2017), Duanjiapo (An et al., 2005), Xifeng (Sun et al., 2006), and Binxian (this study). All loess proxy records refer to the age model of Guo et al. (2009). (K) LR04 benthic  $\delta^{18}O$  stack (Lisiecki and Raymo, 2005). Temporal  $\chi_{if}$  and  $\chi_{fd}$  variability during the last 800 kyr is similar across the Chinese Loess Plateau, with all records suggesting prominently higher interglacial East Asian summer monsoon precipitation from MIS 13 onward. Glacial-interglacial cycles are clearly evident in all records, although details differ slightly in different records, which is consistent with their respective resolutions and regionally variable pedogenic development related to monsoon palaeoprecipitation variations.



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**Fig. 5.** Monsoon precipitation, global ocean carbon chemistry, and global climate variability over the past 800 kyr. (A, B) East Asian summer monsoon precipitation variability on the Chinese Loess Plateau indicated by the Binxian (BX) loess  $\chi_{lf}$  and Hm/Gt records (this study). (C) South Asian monsoon precipitation indicated by the Arabian Sea Ca/K record (Kunkelova et al., 2018). (D) North African monsoon precipitation variability inferred from the clay-mineralogical illite-chemistry index (ratio of the 5 Å and 10 Å peak areas, which correlates positively with precipitation) of Nile deep-sea fan sediments in the Levantine Basin (Zhao et al., 2012). (E) Amazon precipitation inferred from CaCO<sub>3</sub> concentration at Ceara Rise (Harris et al., 1997). (F) South African monsoon precipitation variability inferred from ln(Fe/Ca) ratios in marine core MD96–2048, offshore southeastern Africa (Caley et al., 2018). (G) Ocean carbon chemistry variability inferred from a benthic  $\delta^{13}\text{C}$  stack from ODP Sites 1143, 849, 659, 1123, and 1090  $\delta^{13}\text{C}$  records (see Fig. 8 for details). (H) Atmospheric CO<sub>2</sub> concentration reconstructed from the Antarctic Dome C ice core (Lüthi et al., 2008). (I) Global mean annual surface air temperature (AMAT) changes (Martínez-Botí et al., 2015). (J) ODP Site 1123 (South Pacific Ocean) deep-sea temperature (5-point running average of original data) based on Mg/Ca ratios from benthic foraminifera (Elderfield et al., 2012). (K) Global sea level (Spratt and Lisiecki, 2016). (L) LR04 benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005). The first stage (MBT-1) was associated with monsoon precipitation and carbon reservoir changes during MIS 13, while the second stage (MBT-2) was marked by global temperature and ice volume/sea level shifts during MIS 11, which were linked to increased atmospheric CO<sub>2</sub> concentrations.



**Fig. 6.** Hydroclimate variability in South Asia and the tropical Pacific Ocean over the past 800 kyr. (A) Precipitation variability in South Asia inferred from X-ray fluorescence (XRF) scanning Ba counts of marine sediment core MD04–2881 from the Arabian Sea (Ziegler et al., 2010). (B–D) Precipitation variability in the tropical Pacific Ocean inferred from ODP Hole 806B planktonic foraminiferal (*Globigerinoides ruber*)  $\delta^{18}\text{O}$  and seawater  $\delta^{18}\text{O}$  records (Medina-Elizalde and Lea, 2005), and core PC72 sedimentary biogenic opal flux (Murray et al., 2012). (E) LR04 benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005). MIS 13 has higher precipitation in South Asia and tropical Pacific Ocean than previous interglacials.

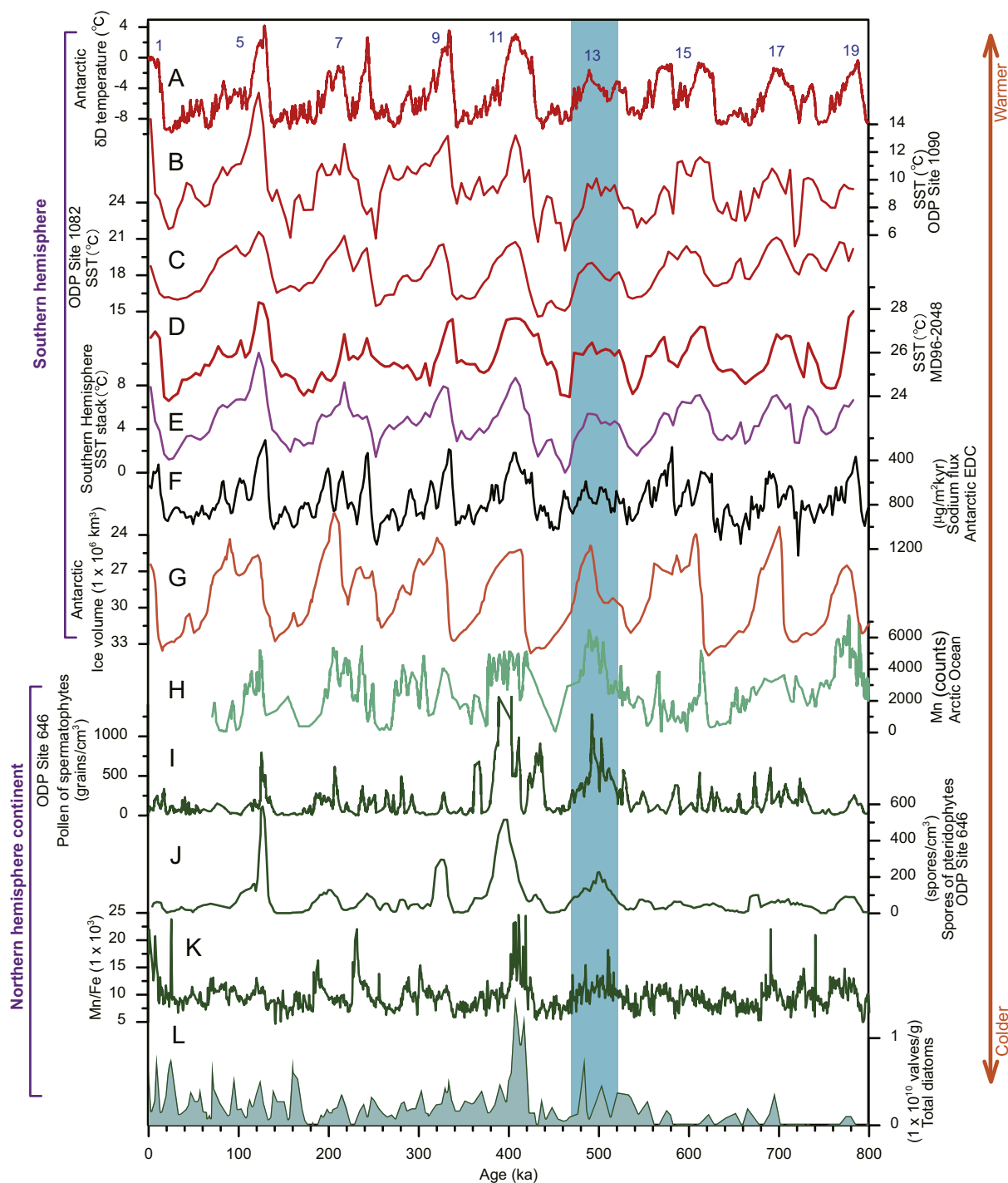
by temperature reconstructions and pollen data from New Mexico (Fawcett et al., 2011; Contreras et al., 2016), palaeoecological records from Britain (Candy and McClymont, 2013), pollen data from Tenaghi Phillipon, Greece (Pross et al., 2015), terrestrial and marine pollen data from Italy (Combourieu-Nebout et al., 2015; Margari et al., 2018), planktonic diatom assemblages from Lake Baikal, central Asia (Prokopenko et al., 2002), and lake carbonate and pollen records from the eastern Tibetan Plateau (Chen et al., 1999). Modern mammalian fauna and vegetation were also established in southern Europe at  $\sim 0.5$  Ma, which is consistent with establishment of modern warm interglacials (Magri and Palombo, 2013).

The above observations (Figs. 1 and 5–7; Tables 1–2) indicate asymmetrical changes between hemispheres in both precipitation and temperature across MIS 13, as noted by Guo et al. (2009). This suggests that the Chinese Loess Plateau precipitation increase during MIS 13 was related to a global mechanism rather than to regional factors only. Intense northern hemisphere warming tends to increase water vapour formation above the northern oceans and to enhance atmospheric ascent (Beck et al., 2018), which may have driven the widespread precipitation increase on the Chinese Loess Plateau and in other northern hemisphere regions during MIS 13, including Eurasia, North Africa, northernmost South America, and the equatorial Pacific Ocean (Fig. 1 and Table 1). In contrast, lower southern hemisphere temperatures promoted decreased precipitation in South Africa and Australia during MIS 13. In the same period, more intense northern hemisphere warming together with subdued southern hemisphere warming, possibly due to stronger obliquity-induced summer insolation in northern compared to

southern hemisphere continents (Yin and Berger, 2012; Berger et al., 2016), may have resulted in intensification and more extensive northward swings of the intertropical convergence zone (ITCZ) relative to previous interglacials. This ITCZ displacement facilitated a precipitation increase over Asia, North Africa, northernmost South America, and the equatorial Pacific Ocean, but resulted in precipitation decreases in South Africa and South Australia (An, 2000; Chiang and Bitz, 2005; Guo et al., 2009; An et al., 2011; Schneider et al., 2014; Shi et al., 2020) (Fig. 1). Recent climate model simulations provide crucial support for such responses during hemispherically asymmetric MIS 13 climate changes (Shi et al., 2020).

After MIS 13, all interglacials were characterized by sustained high interglacial precipitation in East Asia. However, the marked hemispheric asymmetry typical of MIS 13 is absent in the later interglacials. Hence, the dynamics underpinning high monsoon intensity (and precipitation) from MIS 11 onward require a separate explanation. Starting with MIS 11, interglacials transitioned from so-called “lukewarm” to warmer “super” interglacials, with reduced global ice volume (and, thus, higher sea level), and with elevated atmospheric CO<sub>2</sub> concentrations (Augustin et al., 2004; Lisiecki and Raymo, 2005; Lüthi et al., 2008; Elderfield et al., 2012; Martínez-Botí et al., 2015; Spratt and Lisiecki, 2016) (Fig. 5). Carbon-cycle and ice-albedo feedbacks likely played critical roles in intensifying and sustaining interglacial warming, based on both model simulations and observed higher interglacial CO<sub>2</sub> concentrations during MIS 11 and younger interglacials, with synchronous sea level increases (Lisiecki and Raymo, 2005; Rohling et al., 2009, 2014; Tzedakis et al., 2009; Yin and Berger, 2010; Lang and





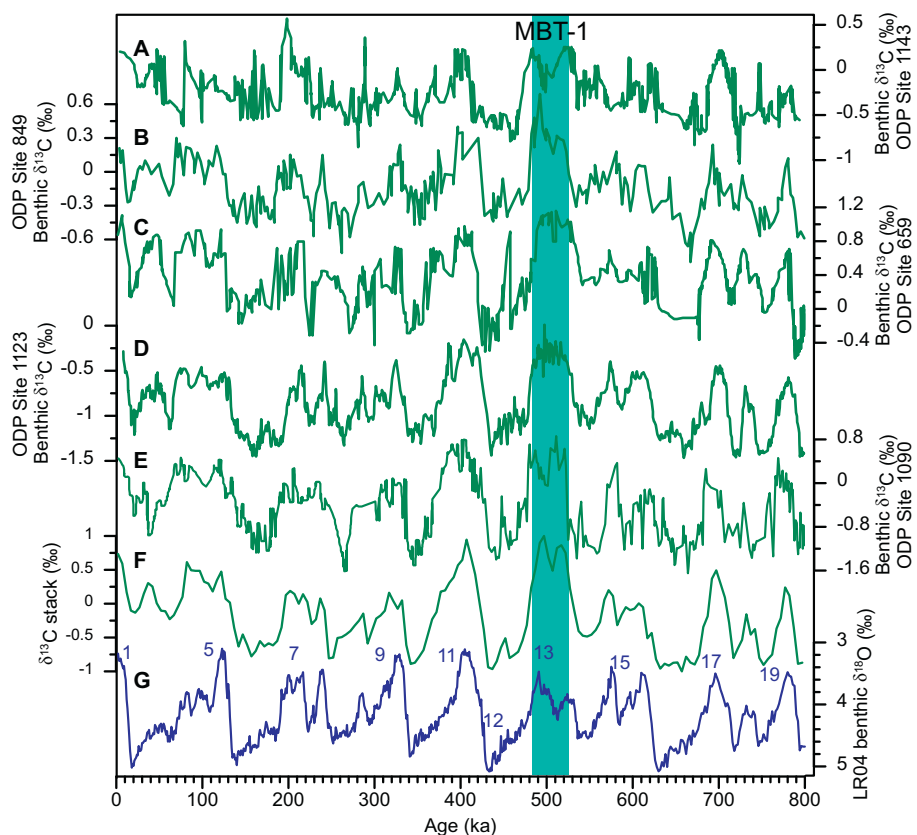
**Fig. 7.** High-latitude northern hemisphere continental and southern hemisphere climate variability across the MBT. (A)  $\delta D$  temperature from the Antarctic Dome C ice core (Jouzel et al., 2007). (B, C) Alkenone-based SST records from ODP Sites 1090 and 1082, South Atlantic Ocean (Etourneau et al., 2009; Martínez-García et al., 2009). (D) SST record based on tetraether index ( $TEX_{86}$ ) from core MD96–2048, offshore southeastern Africa (Caley et al., 2011). (E) Southern hemisphere SST stack based on the ODP Sites 1082 and 1090 and core MD96–2048 records. (F) Sea-salt sodium flux from the Antarctic Dome C ice core (Wolff et al., 2010) (note the inverse axis). Higher sodium flux reflects larger Antarctic ice extents. (G) Simulated West Antarctic ice sheet variability (Pollard and Deconto, 2009). (H) XRF scanning Mn content in piston core 92AR-P39, western Arctic Ocean (Polyak et al., 2013). High-Mn units represent interglacial interstadial intervals, which are linked to higher continental margin input due to lower Arctic sea-ice extent. (I, J) Abundance of spermatophyte and pteridophyte pollen at ODP Site 646, Labrador Sea (de Vernal and Hillaire-Marcel, 2008). (K, L) Mn/Fe and diatom concentrations at Lake El'gygytyn, NE Russia (Melles et al., 2012; Snyder et al., 2013). Lower southern than northern hemisphere continent interglacial warming displaced the ITCZ northward from MIS 15 to 13 and caused monsoon precipitation and carbon reservoir changes during MBT-1 at 500 ka.

**Table 1**  
 Palaeoclimate records used to document the shift to higher precipitation in Asia, North Africa, Europe, northern South America, and the equatorial Pacific Ocean, and to lower precipitation in South Africa and Australia during MIS 13. The respective site locations are indicated in Fig. 1.

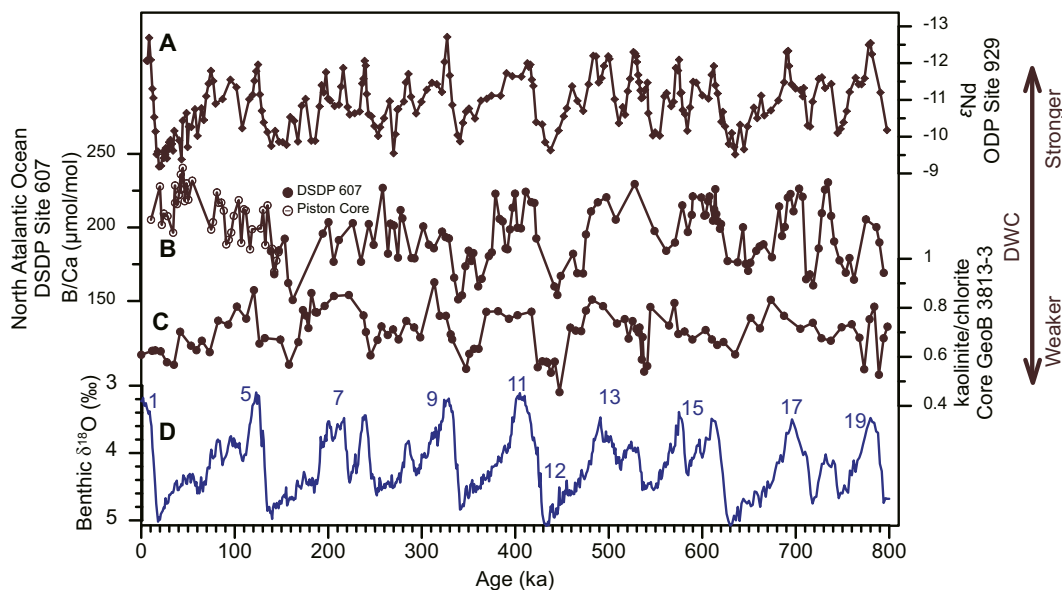
Regions	Sites	Records	Proxies	References
Asia	Chinese Loess Plateau, central China	Loess	$\chi_{if}$ , $\chi_{af}$ , Hm/Gt; Fe <sub>4</sub> /Fe <sub>t</sub>	This study; Ding et al. (2002); An et al., 2005; Sun et al. (2006); Guo et al. (2009); Hao et al. (2012); Zhang et al. (2016); Li et al. (2017b); Lu et al. (2020)
	Yinchuan Basin, northwest China	Fluvial-lacustrine	Pollen	Li et al., 2017a
	South China	Loess and soil	Soil micromorphology; chemical weathering proxies; environmental magnetic proxies	Zhang et al. (2009); Lu et al. (2020)
	South China Sea	Marine	<i>n</i> -alkane flux; sea-surface salinity, $\delta^{15}N$ ; opal content	Shyu et al. (2001); Shiau et al. (2008); Li et al. (2013); Ren et al. (2017)
	Arabian Sea	Marine	Ca/K; Ba concentration; grain size	Clemens et al. (1996); Ziegler et al. (2010)
	Mediterranean Sea	Marine	Sapropel development, Si/Al; K/Al; clay mineralogy	Rosignol-Strick et al. (1998); Zhao et al. (2012)
North Africa	Sahara Desert	Fluvial-lacustrine and aeolian	Ephemeral lake growth	Geyh and Thiedig (2008)
	Lake Van	Lacustrine	Pollen; TOC; Ca/K	Litt et al. (2014); Stockhecke et al. (2014)
Middle East	Danube River basin	Loess	$\chi_{if}$	Marković et al. (2015)
Europe	Ceara Rise	Marine	CaCO <sub>3</sub> concentration	Harris et al. (1997)
Northernmost South America	Northern Colombia	Lacustrine	Pollen	Hooghiemstra and Ran (1994); Torres et al. (2013)
Equatorial Pacific Ocean	ODP Hole 806B	Marine	<i>Globigerinoides ruber</i> $\delta^{18}O$	Medina-Elizalde and Lea (2005);
	Core HY04	Marine	<i>n</i> -alkane C <sub>31</sub> /(C <sub>29</sub> + C <sub>31</sub> )	Horikawa et al. (2010)
	Core PC72	Marine	Opal flux	Murray et al. (2012)
South Africa	Core MD96-2048	Marine	Fe/Ca	Caley et al. (2018);
	Lake Magadi	Lacustrine	Pollen	Owen et al. (2018)
Australia	Lake Bungumia	Lacustrine	Sedimentology	An et al. (1986)
	Lake Lefroy	Lacustrine	Sedimentology	Zheng et al. (1998)

**Table 2**  
 Palaeoclimate records used to document less-warmed southern hemisphere and more-warmed northern hemisphere continents during MIS 13. The respective site locations are indicated in Fig. 1.

Regions	Sites	Records	Proxies	References
Southern hemisphere	ODP Site 1123, sub-Antarctic Pacific Ocean	Marine	Mg/Ca deep-sea temperature	Elderfield et al. (2012)
	Antarctic	Ice core	$\delta D$ temperature; sea-salt sodium flux	Jouzel et al. (2007); Wolff et al. (2010)
	ODP Sites 1090 and 1082, South Atlantic Ocean	Marine	Alkenone-based SST	Etourneau et al. (2009); Martínez-García et al. (2009)
	Core MD96-2048, southeastern Africa	Marine	TEX <sub>86</sub> -based SST	Caley et al. (2011)
	ODP Site 1094, South Atlantic Ocean	Marine	Ba/Fe; Ca/Fe	Jaccard et al. (2013)
Northern hemisphere	Arctic Ocean	Marine	Pollen	de Vernal and Hillaire-Marcel (2008); Polyak et al. (2013)
	New Mexico	Lacustrine	Pollen; temperature reconstruction	Fawcett et al. (2011); Contreras et al. (2016)
	Britain	Terrestrial	Palaeoecology	Candy and McClymont (2013)
	Zoige palaeolake, Eastern Tibetan Plateau	Lacustrine	Carbonate concentration; pollen	Chen et al. (1999)
	Tenaghi Phillipon, Greece	Lacustrine	Pollen	Pross et al. (2015)
	Italy	Lacustrine	Pollen	Comboutieu-Nebout et al. (2015); Margari et al. (2018)
	Lake Baikal	Terrestrial and marine	Diatom	Prokopenko et al. (2002)
	Lake El'gygytgyn	Lacustrine	Mn/Fe; diatom	Melles et al. (2012); Snyder et al. (2013)



**Fig. 8.** Comparison of Ocean carbon chemistry variability with benthic  $\delta^{13}\text{C}$  over the past 800 kyr. (A–E) Ocean carbon chemistry variability inferred from benthic  $\delta^{13}\text{C}$  records from ODP Sites 1143 (South China Sea) (Wang et al., 2003), 849 (tropical eastern Pacific Ocean) (Mix et al., 1995), 659 (North Atlantic Ocean) (Tiedemann et al., 1994), 1123 (South Pacific Ocean) (Elderfield et al., 2012), and 1090 (Southern Ocean) (Venz and Hodell, 2002). (F) Stacked benthic  $\delta^{13}\text{C}$  based on ODP Sites 1143, 849, 659, 1123, and 1090. (G) LR04 benthic  $\delta^{13}\text{C}$  stack (Lisiecki and Raymo, 2005). Maximum positive benthic  $\delta^{13}\text{C}$  values during MIS 13 suggest carbon reservoir changes.



**Fig. 9.** Deep-water circulation (DWC) variability over the past 800 kyr. (A–B) DWC variability inferred from ODP Site 929 seawater  $\epsilon_{\text{Nd}}$ , equatorial western Atlantic Ocean (Howe and Piotrowski, 2017), benthic foraminiferal trace element B/Ca record compiled from DSDP Site 607 and a nearby piston core, North Atlantic Ocean (Sosdian et al., 2018), and South Atlantic Core GeoB 3813–3 kaolinite/chlorite (Gingele and Schmieder, 2001). (C) LR04 benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005). The onset of unusually high interglacial Asian summer monsoon precipitation (Fig. 4) and carbon reservoir changes (Fig. 8) occurred during MIS 13, during which deep-water circulation did not change markedly during MIS 13 when benthic  $\delta^{13}\text{C}$  has maximum positive values relative to previous interglacials.

Wolff, 2011; Grant et al., 2014; Spratt and Lisiecki, 2016). These globally increased interglacial intensities were associated with increased lower-tropospheric water vapour loading in the Western Pacific and Indian Oceans, strengthened summer monsoon circulation, and shortened moisture transportation pathways to East Asia. Combined, they would have enhanced moisture transportation to East Asia (Ao et al., 2012; Beck et al., 2018) to lead to sustained high interglacial precipitation.

### 3.2. Oceanic carbon cycle changes and a two-stage climate transition across the MBT

High interglacial marine benthic  $\delta^{13}\text{C}$  values during MIS 11 are consistent with the parallel low marine benthic  $\delta^{18}\text{O}$  values, high atmospheric  $\text{CO}_2$  concentrations, low ice volume, and high sea level (Figs. 5 and 8). However, earlier high interglacial marine benthic  $\delta^{13}\text{C}$  values during MIS 13 are incompatible with the notably higher marine benthic  $\delta^{18}\text{O}$  values, lower atmospheric  $\text{CO}_2$  concentrations, higher ice volume, and lower sea level, relative to MIS 11 (Figs. 5 and 8). Ocean carbon chemistry and deep-water circulation changes have often been used to explain abnormally high benthic  $\delta^{13}\text{C}$  values during MIS 13 (Raymo et al., 1997; Wang et al., 2003; Hoogakker et al., 2006; Holden et al., 2011). Although benthic  $\delta^{13}\text{C}$  can be influenced by ocean circulation changes, the fact that this positive  $\delta^{13}\text{C}$  shift is observed so widely in the global ocean, including the South China Sea (Wang et al., 2003, 2014), tropical eastern Pacific Ocean (Mix et al., 1995), North Atlantic Ocean (Tiedemann et al., 1994), South Pacific Ocean (Elderfield et al., 2012), and Southern Ocean (Venz and Hodell, 2002), as is evident from the global benthic  $\delta^{13}\text{C}$  stack (Fig. 8), reflect global carbon reservoir changes at MIS 13 (Wang et al., 2003). A growing number of studies (e.g., Gingele and Schmieder, 2001; Lear et al., 2016; Howe and Piotrowski, 2017; Sosdian et al., 2018; Farmer et al., 2019) provide evidence that deep-water circulation was not anomalous, so that it was not responsible for the prominent benthic  $\delta^{13}\text{C}$  shift at MIS 13. For example, seawater  $\epsilon_{\text{Nd}}$  (Fig. 9A) in the equatorial western Atlantic Ocean (Howe and Piotrowski, 2017), benthic foraminiferal trace element B/Ca (Fig. 9B), Cd/Ca, and planktonic foraminiferal U/Ca in the North Atlantic Ocean (Lear et al., 2016; Sosdian et al., 2018; Farmer et al., 2019), and kaolinite/chlorite ratios (Fig. 9C) in the South Atlantic Ocean (Gingele and Schmieder, 2001) all suggest that MIS 13 deep-water circulation was not different from other interglacials. Thus, we view the positive MIS 13 benthic  $\delta^{13}\text{C}$  shift to be due to global carbon reservoir changes, as originally suggested by Wang et al. (2003). Wang et al. (2014) suggested that the MIS 13 benthic  $\delta^{13}\text{C}$  shift may be (partly) attributed to potential Southern Ocean changes, via changes in the ratio of oceanic particulate to dissolved organic carbon. However, based on concurrent and widespread increases in precipitation and forest/vegetation cover over northern hemisphere continents (Fig. 1 and Table 1), we suggest that increased  $^{12}\text{C}$  sequestration into continental (and continental shelf) biomass likely dominated the increase in dissolved inorganic carbon  $\delta^{13}\text{C}$  that is recorded in marine benthic foraminifera.

Our synthesis of global middle to late Pleistocene palaeoclimate and benthic  $\delta^{13}\text{C}$  records indicates that markedly asymmetrical inter-hemispheric temperature and precipitation changes, prominent northward ITCZ swings, and profound global carbon cycle changes occurred as early as MIS 13 (Fig. 5). In contrast, atmospheric  $\text{CO}_2$  concentrations, global mean annual surface air temperature, South Atlantic Ocean deep-sea temperature, global sea level, and global benthic  $\delta^{18}\text{O}$  went through a major transition as late as MIS 11 (Lisiecki and Raymo, 2005; Lüthi et al., 2008; Elderfield et al., 2012; Martínez-Botí et al., 2015; Spratt and Lisiecki, 2016) (Fig. 5). Hence, we conclude that the mid-Brunhes climate transition occurred in two stages. The first stage (MBT-1) was marked by asymmetrical temperature and precipitation changes between hemispheres, northward ITCZ displacement, and global carbon cycle changes during MIS 13. The second stage (MBT-2) was marked by

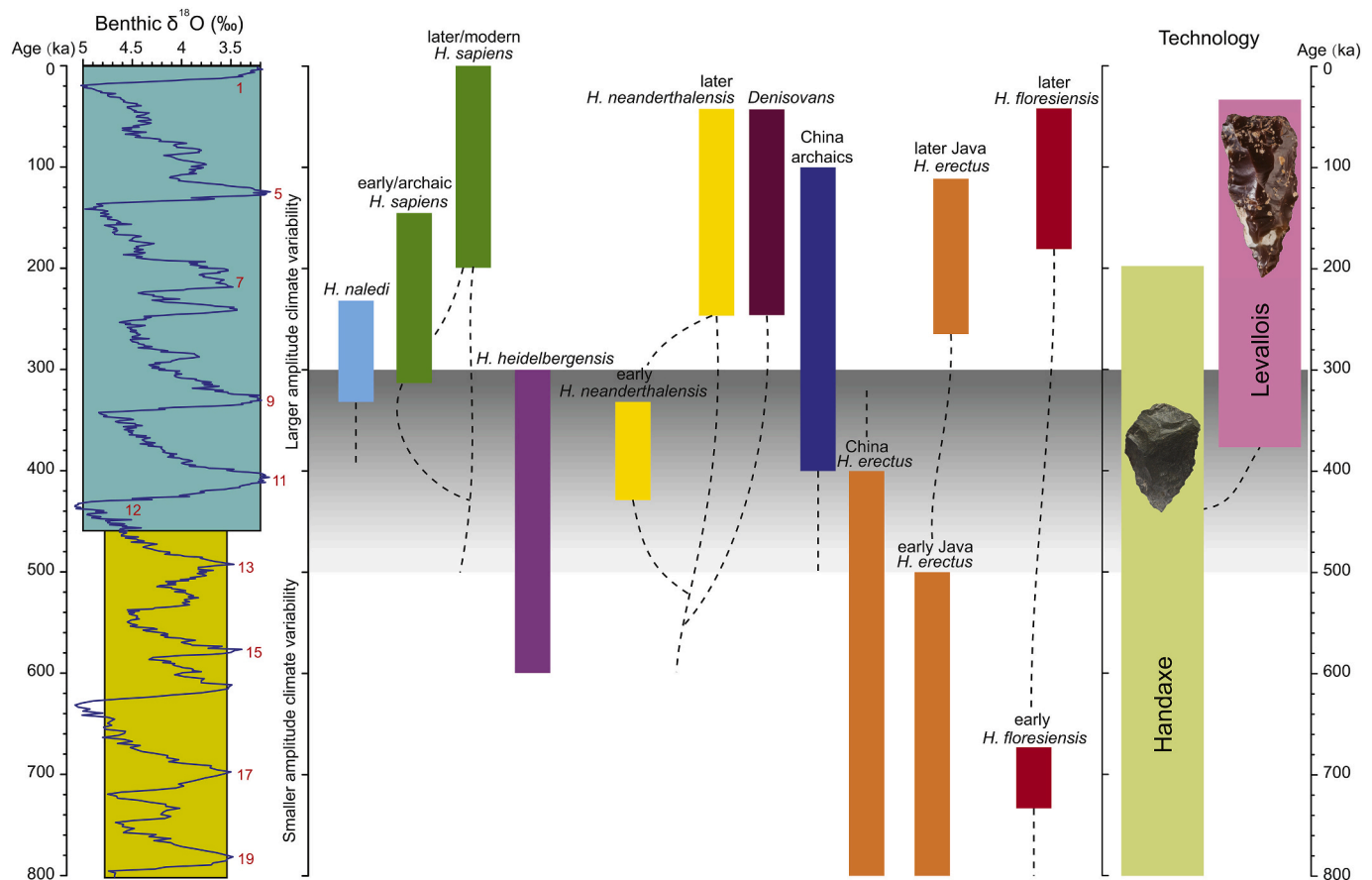
global “mean” climate changes in atmospheric  $\text{CO}_2$  concentrations, global atmospheric and deep-sea temperatures, and ice volume/sea level during MIS 11 (Fig. 5). Both the Greenland and West Antarctic ice sheets may have collapsed during the warm “super” interglacial MIS 11 (Raymo and Mitrovica, 2012; Reyes et al., 2014).

Our proposed two-stage MBT development reconciles different MBT timings from palaeoclimate records (Fig. 5). Some regions responded predominantly to northern hemisphere continental warming and northward ITCZ displacement during MBT-1, such as mid- and low-latitude Asian and North African precipitation, which featured maximum values during MIS 13. This induced intense palaeosol development on the Chinese Loess Plateau (Fig. 4) and formation of a thick Eastern Mediterranean sapropel (e.g., Rossignol-Strick et al., 1998). Some other regions, such as the Yinchuan Basin (NW China) and high-latitude NE Russia, responded only moderately to northern hemisphere continental warming and to a northward ITCZ displacement at MBT-1, but were more prominently affected by the transition to maximum interglacial warmth in both hemispheres at MBT-2 (Melles et al., 2012; Li et al., 2017a). Meanwhile, the Asian winter monsoon did not change as prominently across the MBT as the summer monsoon, as is indicated by limited responses in Chinese loess grain size records across MIS 13 and MIS 11 (e.g., Ding et al., 2002; Sun et al., 2006; Hao et al., 2012).

Finally, stalagmite  $\delta^{18}\text{O}$  records from South China warrant specific discussion. These records are dominated by precession cycles with little evidence of 100-kyr glacial-interglacial cycles during the past 640 kyr, and without major shifts at MIS 11 or MIS 13 (Cheng et al., 2016). These stalagmite  $\delta^{18}\text{O}$  variations have been interpreted as a record of East Asian summer monsoonal rainfall variability, but are increasingly thought to be influenced not only by the monsoon, but also by other factors, including moisture transportation distance, evaporation and condensation processes along the vapour advection pathway, mixing of water vapour derived from different sources (Indian vs Pacific Ocean), temperature changes at the moisture sources, glacial-interglacial seawater  $\delta^{18}\text{O}$  variations, regional temperature gradients, changes in seasonality and frontal position, and additional local effects (e.g., Maher and Thompson, 2012; Liu et al., 2015; Wang et al., 2017; Beck et al., 2018; Clemens et al., 2018; Zhang et al., 2018). Full interpretation of different orbital features between Chinese loess and South China stalagmite  $\delta^{18}\text{O}$  records remains a challenge that has been debated extensively, and needs further work. However, the apparent absence of MBT-1 and/or MBT-2 in some palaeoclimate records (e.g., Ding et al., 2002; Tzedakis et al., 2006; Candy et al., 2010; An et al., 2011; Cheng et al., 2016) supports the concept that regionally differing climate imprints may be expected because of different regional response sensitivities to major climate rearrangements (Berger et al., 2016), and because individual records may record composite processes rather than a single process. This debate needs to be settled by further research using both observations and modelling.

### 4. Potential links between mid-Pleistocene environmental changes and human evolution

Integration of mid-Pleistocene palaeoclimatic and palaeoanthropological records provides an opportunity to obtain a global perspective on climate variability and human evolution across the MBT. Broadly, increased environmental extremes (cold/dry or warm/moist conditions) and resulting ecological and faunal changes have been suggested as likely drivers of hominin evolution over the past several million years (e.g., deMenocal, 1995, 2004; Potts, 1996; Potts et al., 2018). The shift to larger-amplitude glacial-interglacial variability across the two MBT stages between ~500 and 380 ka (MIS 13–11) corresponds to a critical time in human physical, behavioural, and genetic evolution, with the highest known diversification of human lineages/species occurring over this time interval (Galway-Witham et al., 2019) (Fig. 10). Starting from MBT-1 at ~500 ka, global archaeological records indicate prominent increases in new subsistence



**Fig. 10.** Global climatic variability and development of mid-Pleistocene hominins and technology over the last 800,000 years. Increased global climate variability across the MBT inferred from the LR04 benthic  $\delta^{18}\text{O}$  stack (Lisiecki and Raymo, 2005) is correlated with increased hominin diversity and (in many regions) Levallois development from handaxe technology. Inferred age ranges of hominin lineages are shown, with colours reflecting commonly accepted species designations (Galway-Witham et al., 2019). Dotted lines indicate possible phylogenetic associations of lineages.

behaviour (e.g., fire control, large mammal butchery), technical innovations (e.g., improved core and Levallois technologies and increased light-duty tools), regionalization, and more intense social interactions (e.g., Roebroeks and Villa, 2011; Davis and Ashton, 2019; Galway-Witham et al., 2019; Biddittua et al., 2020; Moncel et al., 2020). We outline these major divergences below.

*H. erectus*, which is one of the first species of the *Homo* genus, expanded to low- and mid-latitude Eurasia as early as  $\sim 1.8$ – $1.6$  Ma (Zhu et al., 2004, 2008, 2015; Dennell, 2009; Ferring et al., 2011; Ao et al., 2013b). It survived changing East Asian environments for more than one million years but seems to have disappeared in China after  $\sim 400$  ka (Ao et al., 2017; Galway-Witham et al., 2019), close to MBT-2. Remaining *H. erectus* populations may then have been restricted to Southeast Asia, surviving in Java, where the tropical climate remained warm and wet. They persisted there despite increased climate variability across the MBT until  $\sim 100$  ka (Galway-Witham et al., 2019; Rizal et al., 2019). It can be inferred that the ancestors of *H. floresiensis* were already present on the Indonesian island of Flores before MBT-1 because a related population is known there at  $\sim 700$  ka (van den Bergh et al., 2016). Thus, it appears that *H. floresiensis* also survived increased Southeast Asian tropical climate variability across the MBT (van den Bergh et al., 2016) (Fig. 10). It can be inferred that the mid-Pleistocene species *H. heidelbergensis* was present at  $\sim 0.6$  Ma in Europe and Africa from the German type fossil (Mauer) and key Ethiopian materials (Bodo) (Rightmire, 2009; Galway-Witham et al., 2019) (Fig. 10). It has often been considered as the likely last common ancestor of *H. neanderthalensis* and *H. sapiens* (Stringer, 2012a), but its evolutionary role is questionable now on both chronological and morphological grounds,

and its extinction date is unknown (Gómez-Robles et al., 2013; Galway-Witham et al., 2019; Gómez-Robles, 2019; Grün et al., 2020).

The timespan across the two MBT stages encompasses the extinction of older lineages/species and the origination of new species and early divergences of old species in Europe, Asia, and Africa. This suggests a potential link between environmental changes and human evolution (Fig. 10). Genetic calibrations using estimates of the autosomal human mutation rate suggest that the divergence date of the *H. neanderthalensis* and *H. sapiens* lineages could lie between  $\sim 550$  and  $765$  ka or, alternatively, between  $\sim 503$  and  $565$  ka (Meyer et al., 2016; Hajdinjak et al., 2018). Given that genetic divergence precedes speciation, these lineages would have been differentiating during the MBT (Galway-Witham et al., 2019), between MIS 13 and MIS 11. Another hominin group must have also begun its evolution by this time – the Denisovans, a sister group of Neanderthals. Denisovans are only known from Asian fossil evidence from the late mid-Pleistocene onward, but both genetic calibration and primitive morphological aspects compared with the Sima de los Huesos fossils from Atapuerca, Spain, place their divergence from the Neanderthal lineage earlier in the mid-Pleistocene, before  $\sim 430$  ka (Reich et al., 2010; Jacobs et al., 2019). Although there is as yet no physical evidence, the origin of Denisovans from a common ancestor with Neanderthals probably occurred in East Asia during MIS 13–12. In the later mid-Pleistocene, China had a high morphological diversity of human populations, but apart from the Denisovan-related Xiahe mandible ( $\sim 160$  ka) in central China (Chen et al., 2019) and possible Denisovan fossils excavated from sites like Xujiayao (260–370 ka), North China (Ao et al., 2017), the affinities of “China archaics” like Chaoshan, Dali, and Jinniushan to other lineages,

including the Denisovans, are also currently unclear (Ao et al., 2017; Galway-Witham et al., 2019). However, the suggested timing of the appearance of “China archaics” at ~400 ka (Ao et al., 2017) coincides with MBT-2. In addition, we can reasonably infer that the *H. naledi* lineage appeared in South Africa around or before the MBT (Galway-Witham et al., 2019). Although known fossil specimens are dated between 236 and 414 ka using a combination of optically stimulated luminescence (OSL) dating of sediments, U–Th and palaeomagnetic dating of flowstones, and U-series and electron spin resonance (US-ESR) dating of teeth (Dirks et al., 2017), the persistence of primitive traits suggests a much more ancient origin (Galway-Witham et al., 2019).

In both Europe and Africa, the period between ~500 and 400 ka is notable in terms of hominin diversity, with brain sizes coming fully into the modern range. In Europe, this was a critical phase in the development of human evolution, technology, and societies (Davis and Ashton, 2019), and there was a range of fossil morphologies, with some attributed to early Neanderthals and others to the continuing *H. heidelbergensis* lineage (Stringer, 2012a; Manzi, 2016; Galway-Witham et al., 2019). For example, the extensive Sima de los Huesos sample can be placed on the Neanderthal lineage through numerous morphological traits, which is also supported by DNA analysis (Arsuaga et al., 2014; Meyer et al., 2016), while the penecontemporaneous braincase from Ceprano, Italy, has preponderantly primitive, rather than Neanderthal, traits (Manzi, 2016). Other fossils such as the calvaria from Gruta da Aureira, Portugal, have mixed features characteristic of European earlier middle Pleistocene crania (Daura et al., 2017). Experts differ in opinion on the most appropriate taxonomic and evolutionary allocation for these samples (e.g., Bermúdez De Castro et al., 2019; Rosas et al., 2019).

By ~600 ka, *H. heidelbergensis* was present in Africa as far apart as Ethiopia and South Africa, but subsequent climatic changes across the MBT could have produced niche contraction in a relatively drier southern Africa (Caley et al., 2018), while a more humid northern Africa (Rossignol-Strick et al., 1998; Geyh and Thiedig, 2008; Zhao et al., 2012) (Figs. 1 and 5) would have opened new niches for *H. heidelbergensis* population expansions with handaxe industries. In particular, northward expansion and intensification of the African monsoon (Rossignol-Strick et al., 1998; Zhao et al., 2012) may have transformed large parts of the Sahara Desert into a vegetated “green Sahara” savannah with large lakes (Geyh and Thiedig, 2008; Larrasoña et al., 2013), which connected central Africa with the North African coast and with west Asia. It is not known whether *H. heidelbergensis* persisted in increasingly arid southern Africa (Fig. 5F), but it seemingly did so in central and East Africa based on evidence from Eyasi and Broken Hill (Dominguez-Rodrigo et al., 2008; Galway-Witham et al., 2019; Grün et al., 2020). It is possible that *H. naledi* differentiated in southern Africa as a more arid-adapted species with a lower quality diet following enhanced regional aridity across the MBT (Fig. 5F), but its evolutionary history and adaptations remain essentially unknown. *H. sapiens* may have emerged in Africa during the MBT at ~500–400 ka (Galway-Witham et al., 2019), which overlaps with the larger-amplitude MBT glacial-interglacial oscillations. The oldest recognized *H. sapiens* fossils are associated with Levallois artefacts (Middle Palaeolithic) from Jebel Irhoud, Morocco, dating between ~378 and 295 ka (Hublin et al., 2017; Richter et al., 2017) within the second full glacial to interglacial cycle of MIS 10–9. This date is younger than that of the MIS 12 early Neanderthals in Spain but is still within the larger-amplitude glacial-interglacial oscillations that followed MBT-2.

Along with increased amplitude of climate variability across the MBT, greater variation in lithic industries and hominin behaviour developed across Eurasia and Africa (Fig. 10). The two MBT stages coincided with behavioural changes leading toward the early Middle Palaeolithic, such as changes in cultures and land use patterns, and more versatile and standardized core technologies (Davis and Ashton, 2019; Biddittua et al., 2020). In Europe, handaxes were a major focus of stone tool manufacture before and during MIS 11 but became a more

marginal technology or were absent after this time (Davis and Ashton, 2019). In East Asia, abundant handaxes appeared in central China from ~500 ka (Li et al., 2014), which might indicate that similar morphological and technological diversity was developing there. Levallois-like artefacts developed within MIS 12–9 in both Africa and Europe (Monnier, 2006; Hublin, 2009; Porat et al., 2010; Adler et al., 2014; Hublin et al., 2017; Richter et al., 2017; Potts et al., 2018; Moncel et al., 2020), which are markedly more technologically complex relative to the previous Lower Palaeolithic tools (including handaxes) and were probably more efficient for hunting (Ambrose, 2010). The control and use of fire also becomes clearer in archaeological records from ~400 ka onward (Preece et al., 2006; Roebroeks and Villa, 2011; Ravon et al., 2016; Daura et al., 2017; Davis and Ashton, 2019), while the processing of hides for clothing and shelter may also have become widespread (Voormolen, 2008; Ashton, 2015). More persistent use of caves could also have facilitated the first regular construction of shelters (Olle et al., 2016; Ravon, 2018), leading to a growing recognition of place, space, and perhaps territories, which is critical for the structuring and operation of societies (Ashton, 2018; Ravon, 2018; Scott and Shaw, 2018). These lithic and behavioural developments may have potentially helped populations to adapt to the larger-amplitude climate variability.

It is apparent that many of these notable developments in human speciation, morphology, and behaviour coincided with the MBT. According to environmental theories of human evolution (e.g., deMenocal, 1995, 2004; Potts, 1996; Potts et al., 2018), this chronological match implies that the larger-amplitude climate variability across the MBT could have provided a driving force for the mid-Pleistocene high diversification of human lineages and behavioural changes. Generally, more extreme variability in precipitation (particularly in Africa) and temperature (particularly in Europe) had a global influence on human habitats, selection gradients, and biota, which may have impacted human survival, reproduction, and population sizes (Owen et al., 2018; Potts et al., 2018). Under favourable warm/humid conditions, resources were relatively abundant, and human populations probably increased in size, colonizing new regions (Dennell, 2009; Caley et al., 2018; Potts et al., 2018). Under less favourable cold/dry conditions, populations would have been prone to contraction, regional isolation or fragmentation, and even local extinction due to insufficient resource acquisition (e.g., food, water, and shelter), increased competition, and enhanced selective pressures (Dennell, 2009; Caley et al., 2018; Potts et al., 2018). Increased amplitudes of glacial-interglacial oscillations were also associated with prominent vegetation and mammalian community shifts across the MBT (e.g., Magri and Palombo, 2013; Head and Gibbard, 2015; Potts et al., 2018), which induced higher regional climate and ecosystem variability, and potentially increased foraging unpredictability (Hublin, 2009; Hublin and Roebroeks, 2009). Smaller numbers of human species, lower population density, and weaker social networks before MBT-1 at ~500 ka (Biddittua et al., 2020) would also have increased the likelihood of more isolated local populations becoming extinct under larger-amplitude climate variability and larger environmental stress after this time, particularly during the following “super” glacial MIS 12, when ice sheets first expanded south of 50°N in eastern and central Europe (Lauer and Weiss, 2018). For coeval human hunter-gatherers, the combined action of increased environmental and habitat variability, and unpredictable resource availability may have induced demographic crashes of some older human lineages (i.e., *H. erectus* and *H. heidelbergensis*) (Fig. 10). In addition to enhanced climatic adaptations, many surviving human populations could have been forced to widen their mobility, to increase planning depth and hunting proficiency, to share more information and resources, to extend regional social interaction and resource exchange networks with increased trust and cooperation, and to develop enhanced constructive memory, which would have enhanced adaptations to unpredicted selective pressures (Ambrose, 2010; Potts et al., 2018). These combinations may have improved fitness, increased encephalization, promoted novel hominin behaviour (e.g., fire control),

pushed organized hunting to higher levels, enhanced foraging efficiency, and driven genetic drift or exchange (Hublin, 2009; Potts et al., 2018; Galway-Witham et al., 2019). Through these pressures and opportunities, some groups could have differentiated into distinct populations and then into new species. These possibilities and the evidence provided above of a chronological correlation between environmental changes and human evolution suggest that increased climate variability across the MBT may have provided a catalyst or appropriate conditions for evolution that induced the high diversification of human lineages (with coexisting distinct human groups) during the middle interval of the middle Pleistocene, including origination of Neanderthals, Denisovans, and *H. sapiens* (Fig. 10). This inference is consistent with environmental theories of human evolution (e.g., deMenocal, 1995, 2004; Potts, 1996; Potts et al., 2018) and provides a basis to elaborate on environmental and human co-evolution, although causal links between coeval climatic and evolutionary changes cross the MBT cannot yet be established.

The evolved new and surviving old species, coupled with technical and behavioural developments (e.g., Levallois tools and fire control), may have become more adaptable to increased environmental extremes (cold/dry or warm/moist conditions) across the MBT. In turn, this may have laid the foundation for subsequent episodic human expansions across Africa and Eurasia (Moncel et al., 2016; Davis and Ashton, 2019), including previously abandoned high-latitude Europe (subject to favourable conditions). More or less permanent occupation occurred in at least some of these regions, probably from “super” interglacial MIS 11 onward or even from the comparably regionally warm and wet European interglacial MIS 13 (Biddittua et al., 2020). Although occupation was punctuated by severe cold periods, particularly during the first “super” glacial MIS 12 between MBT-1 and MBT-2, which probably resulted in population decreases and niche contraction, there may have been near-continuous colonization in parts of southern Europe. The more maritime climates of northwestern Europe allowed occupation of northern latitudes for parts of this time, and it has been argued that this was a critical time for development of abilities to cope with long, cold winters (Ashton and Lewis, 2012; Cohen et al., 2012; Hosfield, 2016), although some earlier adaptations had occurred – as indicated by human persistence in an Early Pleistocene boreal environment at Happisburgh 3 (Norfolk, UK; Parfitt et al., 2010). Shorter growing seasons would have demanded an obligate dependence on procuring meat, and several sites at this time provide greater evidence of the requisite technology (Roberts and Parfitt, 1999; Schoch et al., 2015).

## 5. Conclusions

Our palaeoclimatic synthesis suggests that the complete mid-Brunhes climate transition was a two-stage process. The first stage (MBT-1) at ~500 ka was marked by increased temperature and precipitation over northern hemisphere continents during interglacial MIS 13, with accompanying global carbon cycle changes because of vegetation expansion. In the southern hemisphere, opposite temperature and precipitation changes are found during MBT-1. We relate the opposite precipitation changes between hemispheres to enhanced warming of northern hemisphere continents, limited southern hemisphere warming, and associated intensification and more extensive northward ITCZ swings. The second stage of the mid-Brunhes climate transition (MBT-2) at ~400 ka was marked by a more global shift to greater interglacial warmth linked to increased atmospheric CO<sub>2</sub> concentrations, with reduced ice volume starting at MIS 11. The global shift to intensified interglacial conditions at MBT-2 was associated with sustained high interglacial precipitation in Asia from MIS 11 onward and with sustained high marine benthic δ<sup>13</sup>C values during MIS 11.

Based on the observed temporal co-occurrence of environmental and human species changes, we infer that prominent climate changes across the MBT may have provided a catalyst or the appropriate conditions to promote mid-Pleistocene hominin and behavioural diversity.

Relevant changes include human expansions across wider areas of Africa and Eurasia, longevity or extinction of older lineages/species, origination of new species (e.g., emergence of Neanderthals in Europe, Denisovans in eastern and southeastern Eurasia, *H. sapiens* in Africa, and “China archaics” in East Asia), and the transition to Levallois technology in many regions. These observations are consistent with previous proposals of environmental influence on human evolution. Our hypothesis is testable with future development of a more complete understanding of mid-Pleistocene environmental changes, human lineage diversification, and behavioural developments. In particular, new palaeoanthropological data are needed from regions such as Africa and China, and from critical areas like the Indian subcontinent and much of southeastern Asia, which are marked by a near-complete lack of relevant materials.

## Author contributions

H.A. conceived the study. H.A., P.Z., P.G.Y., and X.K.Q. performed multi-proxy measurements. H.A., E.J.R., Z.S.A., A.P.R., M.J.D., G.D.-N., Q.S.L., Z.H.L., W.J.Z, W.H., G.Q.X., and Z.G.S. contributed to proxy analysis, interpretation, and discussion. C.S. contributed to palaeoanthropological interpretation. J.M.Y., X.L.M., and Z.D.J contributed to benthic δ<sup>13</sup>C interpretation. X.Z.P and Q.S. helped on loess age model establishment. H.A., E.J.R, C.S., A.P.R., and Z.S.A. led the manuscript writing with intellectual contributions from all coauthors.

## Data availability

All new data used in this study are attached in the Supplementary Dataset.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgements

We thank M. Raymo for reading an earlier version of this paper and for providing helpful suggestions, and L. Crete for helping to create Fig. 10. This study was supported financially by The Second Tibetan Plateau Scientific Expedition and Research (STEP) program (2019QZKK0707, 2019QZKK0101), the Chinese Academy of Sciences, the National Natural Science Foundation of China, the Ministry of Science and Technology of China, the Outstanding young talent program of Shaanxi province, Australian Research Council (ARC) grants FL120100050 and DP200101157 to E.J.R., ARC grant DP120103952 to A.P.R., ERC consolidator grant MAGIC 649081 to G.D.-N, and the Calleva Foundation and Human Origins Research Fund to C.S.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.earscirev.2020.103354>.

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